



APPLICATION FOR "BARODA ADVANCE AGAINST SECURITIES"

I request you to grant me/us a loan facility of Rs. _____ under Scheme for Baroda Advance against Securities. To enable you to consider the proposal, I/ We submit the following particulars alongwith the list of securities proposed to be pledged.

1st Applicant	
2nd Applicant	
3rd Applicant	

1st Applicant

Please complete all sections in BLOCK LETTERS and tick (√) options wherever applicable

Name: _____		
(First name)	(Middle name)	(Last name)
PAN No.: _____	UID: _____	Passport No: _____

Date of Birth	DD/MM/YYYY	Present/ Communication address: _____ _____ _____ City: _____ State: _____ Pin code: _____ Phone number: (O) _____ (R) _____ Years in current address: ____ Mobile No: _____ E-mail: _____ Changed Residence in past 3 years : <input type="checkbox"/> Not Changed <input type="checkbox"/> Once <input type="checkbox"/> More than Once
Age		
Marital Status	Single/ Married/ Divorced/ Widowed	
Gender	Male/ Female/ Other	
Employment status (Please tick)	<input type="checkbox"/> Salaried <input type="checkbox"/> Self-employed <input type="checkbox"/> Professional <input type="checkbox"/> House wife <input type="checkbox"/> Others	

Previous address (If any): _____ _____ City: _____ State: _____ Pin code: _____	Permanent address (If different from Communication Address) _____ _____ City: _____ State: _____ Pin code: _____ Phone number: _____
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Family Details: Father's/Husband's name: _____ No. of dependents: _____ No. of children: _____	Education (please tick): <input type="checkbox"/> Matric or below matric <input type="checkbox"/> Under Graduate/Higher secondary <input type="checkbox"/> Graduate <input type="checkbox"/> Post-graduate <input type="checkbox"/> Doctorate/ Professional Degree	Banking with us (Y/N): _____ If yes, then fill the following: Banking with us since: _/_(MM/YYYY) Account type: _____ Account number: _____ Branch name: _____
Are you a BOB staff (Y/N): _____ If yes, EC No. _____ Place of present posting _____		Category (Please tick): <input type="checkbox"/> General <input type="checkbox"/> SC <input type="checkbox"/> ST <input type="checkbox"/> OBC <input type="checkbox"/> Other Minority



<p>Salaried: Please tell about your work:</p> <p>Employer name: _____</p> <p>Department: _____</p> <p>Address: _____</p> <p>_____</p> <p>City: _____ State: _____</p> <p>_____ Pin code: _____</p> <p>Phone no: _____ Extn No: _____</p> <p>Fax _____ no: _____</p> <p>Company email: _____</p> <p>Designation: Executive/ Managerial/ Clerical</p>	<p>Working with:</p> <p><input type="checkbox"/> Govt./Public sector</p> <p><input type="checkbox"/> Public Ltd</p> <p><input type="checkbox"/> Private Ltd</p> <p><input type="checkbox"/> Partnership</p> <p><input type="checkbox"/> Proprietorship</p> <p><input type="checkbox"/> MNC</p> <p>Years with employer: _____</p> <p>Contact person: _____</p> <p>Name _____ of _____ previous employer: _____</p> <p>Retirement age: _____</p>
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<p>Self-employed/Professionals: Please tell about your work:</p> <p>Name of company/firm: _____</p> <p>Activity: _____</p> <p>Address: _____</p> <p>_____</p> <p>City: _____ State: _____</p> <p>_____ Pin code: _____</p> <p>Phone no: _____ Extn. No: _____</p> <p>Fax no: _____</p> <p>Company email: _____</p> <p>Designation: Promoter/ Partner/ Director/ Other _____</p> <p>Years in business/profession: _____</p> <p>Contact person: _____</p>	<p>Business details:</p> <p><input type="checkbox"/> Private Ltd. Co. <input type="checkbox"/> Partnership</p> <p><input type="checkbox"/> Proprietorship <input type="checkbox"/> Others _____</p> <p>Established since: _____</p> <p>If professional, then choose one of the following</p> <p><input type="checkbox"/> Doctor <input type="checkbox"/> Architect <input type="checkbox"/> CA</p> <p><input type="checkbox"/> MBA <input type="checkbox"/> Engineer <input type="checkbox"/> Lawyer</p> <p><input type="checkbox"/> Others (Please specify): _____</p>
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Other facilities Required		
Cheque Book	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Mobile Banking	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Internet Banking	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Is securities proposed to be pledged are held in Joint Name (Y/N) (if yes, please fill separate details joint holders)

DETAILS OF ASSETS HELD BY APPLICANT

Deposit accounts (Savings/ Current)

Name of Bank	Branch	Branch telephone number	Number of years account held	Account type	Account Number	Account balance (Rs.)	As on date

Life Insurance Policies

Policy No.	Date of issuance	Sum Assured	Insurer Co. & Branch name	Annual Premium	Premium paid or surrender value

Shares/ Debentures/ Term deposits / Govt. Securities (NSC/KVP/PPF etc.)

Co./ Bank/ Post Office	Date of Instrument	Face Value	Present value	Due date	Whether encumbered



Other movable assets:

Descriptions	Year of acquiring	Purchase price	Present Value	Valuation date

DETAILS OF LIABILITIES OF APPLICANT:

Outstanding Loan details in individual name

Financer	Purpose	Security	Date of sanction	Loan Amount	Present Outstanding	EMI	Overdue amount (if any)	Overdue since (if overdue)

Outstanding Loan details of firm/ company where you are interested as Proprietor/ Partner/ Director

Financer	Purpose	Security	Date of sanction	Loan Amount	Present Outstanding	EMI	Overdue amount (if any)	Overdue since (if overdue)

Outstanding Loan details in which applicant is guarantor

Financer	Purpose	Security	Date of sanction	Loan Amount	Present Outstanding	EMI	Overdue amount (if any)	Overdue since (if overdue)

Outstanding Loans against securities

Financer	Purpose	Security	Date of sanction	Loan Amount	Present Outstanding	EMI	Overdue amount (if any)	Overdue since (if overdue)

Net worth

Particulars	Amount (In Rs.)
1. Total movable	
2. Total immovable	
3. Total assets (1+2)	
4. Total liabilities	
5. Net assets (3-4)	

I enclose / submit documentary proof in support of the above submissions.

Particulars of Legal Heirs:

Sr. No.	Name	Relationship with applicant	Age	Present Address (If different from applicant's address)

I declare that the information submitted above is true and correct to best of my knowledge and belief.

Declaration:-

I hereby declare that all the particulars and information furnished in the application form are true, correct and complete and up-to-date in all respects. I have not withheld any information. I confirm that I have had no insolvency proceedings initiated against me nor have I ever been adjudicated insolvent. I also authorize Bank of Baroda or its agent to make references and enquiries relative to information in this application which Bank of Baroda considers necessary. I also



authorize Bank of Baroda to exchange, share, part with all information relating to my loan details and repayment history information to other Banks/Financial institutions/ Credit Bureaus/Agencies as may be required and shall not hold Bank of Baroda liable for use of this information. I undertake to inform Bank of Baroda regarding any change in my residence/ employment/ Occupation/ Transfer and to provide any other information that Bank of Baroda may require. I further agree that my loan shall be governed by the rules of Bank of Baroda which may be in force from time to time. Bank of Baroda reserves the right to reject any application without providing any reason.

I further declare that I am not a Director in any Bank. There is no litigation against the firm or me / co. in which I am the proprietor / a partner/ a Director.

I am / was not interested as Proprietor / partner/ Director in any firm/ company whose name is listed in caution list of RBI/ ECGC.

I under take to bear processing, service, documentation charges etc. stipulated by the bank from time to time. I agree, note and understand that Bank of Baroda shall be entitled at its sole discretion to call back, the entire loan/advance whether due and payable or not at any time without assigning any reason and enforce its rights, remedies and securities.

Yours faithfully,

Name: _____

Place: _____

Date: _____

**Please affix
Recent
photograph**



IMPORTANT INFORMATION FOR APPLICANTS OF LOAN AGAINST SECURITIES

FEES & CHARGES:

Unified Processing Charges	<ol style="list-style-type: none">1. New Account: 0.35% of sanctioned amount subject to Minimum Rs. 500.00 and maximum Rs. 2,500.00 (Upfront) (in case of Baroda e-trade account holder, maximum processing charges are Rs. 1,000.00)2. Renewal/ Enhancement: 0.35% p.a. of sanctioned amount subject to minimum of Rs. 250.00 and maximum Rs. 1,250.00 (in case of Baroda e-trade account holder, maximum renewal charges are Rs. 500.00) <p>Note:-</p> <ol style="list-style-type: none">1. In case of new account, minimum charges to be collected upfront and are non-refundable.2. The above charges will be unified processing charges which includes<ol style="list-style-type: none">a. Bureau report (CIBIL) Charges (Upto -3- applicants, above -3- applicants: Rs. 100.00 per person will be charged)b. Documentation/ Vetting Chargesc. Inspection Chargesd. Pledge Charges (Creation/ Invocation/ Closure)3. The inclusive pledge charges are apart from any charges collected by borrower's depository participant directly.4. Any brokerage, transaction charges or any statutory charges will be borne by the applicant on actual basis.5. Any stamp paper/ duty/ franking charges or any other statutory charges to be borne by the applicant on actual basis.
Account operation Charges	For all other banking charges relating to banking services and operations in accounts, please refer to www.bankofbaroda.com/servicecharges.asp
Prepayment Charges	5% of sanctioned limit if closed within -12- months of availing the facility

LIST OF DOCUMENTS TO BE SUBMITTED:

- Passport size photograph of all the applicants
- Residence and age verification, which may be established from the Proof of Business / office address.
- Any one Identity proof (PAN/ Voter ID/ Passport/ Driving License/ UID)
- Any one address proof (PAN card/ Voter ID, Passport, Driving License or Ration card)
- Any one signature proof (PAN Card)
- Latest Demat Account Statement
- Client Master List for the Demat account
- Copy of last six month primary bank statement
- Copy of two years income tax return.
- Client Master List for the Demat account
- Cheque/ Draft/ Debit Authority for unified processing charges.
- Details of Securities proposed to be pledged.

ACKNOWLEDGEMENT TO THE APPLICANTS FOR APPLICATION:

We have received the application of Loan against Securities from Mr. _____, _____ & _____ for Rs. _____ on _____ at ____:____ (HH/MM).

The following is the status of receipt of documents:-

- Complete Documents received Complete Documents not received and advised to customer for requirements

For Bank of Baroda
_____ Branch



TO BE FILLED FOR CO-APPLICANTS (S) IF ANY

Please complete all sections in BLOCK LETTERS and tick (✓) options wherever applicable

Name: _____
 (First name) (Middle name) (Last name)
 PAN No.: _____ UID: _____ Passport No: _____

Date of Birth	DD/MM/YYYY	Present/ Communication address: _____ _____ _____ City: _____ State: _____ Pin code: _____ Phone number: (O) _____ (R) _____ Years in current address: ____ Mobile No: _____ E-mail: _____ Changed Residence in past 3 years : <input type="checkbox"/> Not Changed <input type="checkbox"/> Once <input type="checkbox"/> More than Once
Age		
Marital Status	Single/ Married/ Divorced/ Widowed	
Gender	Male/ Female/ Other	
Employment status (Please tick)	<input type="checkbox"/> Salaried <input type="checkbox"/> Self-employed <input type="checkbox"/> Professional <input type="checkbox"/> House wife <input type="checkbox"/> Others	

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Family Details: Father's/Husband's name: _____ _____ No. of dependents: _____ No. of children: _____	Education (please tick): <input type="checkbox"/> Matric or below matric <input type="checkbox"/> Under Graduate/Higher secondary <input type="checkbox"/> Graduate <input type="checkbox"/> Post-graduate <input type="checkbox"/> Doctorate/ Professional Degree	Banking with us (Y/N): _____ If yes, then fill the following: Banking with us since: / ___ (MM/YYYY) Account type: _____ Account number: _____ Branch name: _____
Are you a BOB staff (Y/N): _____ If yes, EC No. _____ Place of present posting _____	Category (Please tick): <input type="checkbox"/> General <input type="checkbox"/> SC <input type="checkbox"/> ST <input type="checkbox"/> OBC <input type="checkbox"/> Other Minority	

Salaried: Please tell about your work: Employer name: _____ Department: _____ Address: _____ _____ City: _____ State: _____ _____ Pin code: _____ Phone no: _____ Extn No: _____ Fax _____ no: _____ Company email: _____ Designation: Executive/ Managerial/ Clerical	Working with: <input type="checkbox"/> Govt./Public sector <input type="checkbox"/> Public Ltd <input type="checkbox"/> Private Ltd <input type="checkbox"/> Partnership <input type="checkbox"/> Proprietorship <input type="checkbox"/> MNC Years with employer: _____ Contact person: _____ Name of previous employer: _____ Retirement age: _____
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Self-employed/Professionals: Please tell about your work: Name of company/firm: _____ Activity: _____ Address: _____ _____ City: _____ State: _____ _____ Pin code: _____ Phone no: _____ Extn. No: _____ Fax _____ no: _____ Company _____ email: _____ Designation: Promoter/ Partner/ Director/ Other _____ Years in business/profession: _____ Contact person: _____	Business details: <input type="checkbox"/> Private Ltd. Co. <input type="checkbox"/> Partnership <input type="checkbox"/> Proprietorship <input type="checkbox"/> Others _____ Established since: _____ If professional, then choose one of the following <input type="checkbox"/> Doctor <input type="checkbox"/> Architect <input type="checkbox"/> CA <input type="checkbox"/> MBA <input type="checkbox"/> Engineer <input type="checkbox"/> Lawyer <input type="checkbox"/> Others (Please specify): _____
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Outstanding Loan against securities)

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Yours faithfully,





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Name: _____
Place: _____
Date: _____



DETAIL WITH RESPECT TO SECURITIES PROPOSED TO BE PLEDGED
(To be submitted by borrower alongwith application)

The Branch Head
Bank of Baroda

_____ Branch

Dear Madam/ Sir

Depository Participant Name	
DP ID	
Beneficiary Account No	

Please find below the details of securities proposed to be pledged for availing the loan against securities.

ISIN	Scrip / Scheme Name	Depository Participant	Quantity	Face Value	Present Value	Total Value	Stock Exchange
TOTAL VALUE							

Yours faithfully

(Borrower Name)

Date:

Place:

The shares are to be pledged in favor of Bank of Baroda

Depository	Pledgee Account No
NSDL	
CDSL	